Software Performance Analysis – Industry Perspectives

Kingsum Chow, Xinyu Jiang, Chengdong Li, Anil Rajput



Who are we?

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Xinyu Jiang, Postgraduate Student / School of Software Technology, Zhejiang University

Chengdong Li, Founder & CEO / Optimatist

Anil Rajput, AMD Fellow / Datacenter Ecosystems and Application Engineering, also Chair, Java Committee, SPEC

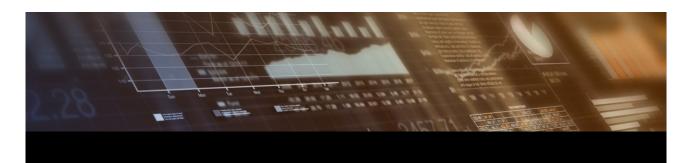
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Agenda

- Part 1: Performance perspective with focus on production deployments
- Part 2: Performance analysis in the industry, methodology and case studies





Part 1: Performance perspective with focus on production deployments

Part-1

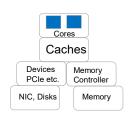
- · Life in 2010 vs. Now
- Performance Monitoring Universe
- · Production Deployments Asks
- · Detour: essential STEPs of Performance Analysis
 - Profiling
 - · Architecture features intertwined with Analysis
- Large Scale Deployments
 - App Telemetry
 - Tools, data collection, methodology and Analysis
- Challenges

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Life used to be simple...

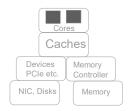


- Single or Dual Cores
- Fixed Frequency
- Uniform Memory
- Simple I/O
- Baremetal OSand performance analysis



Life used to be simple...

Now...



- Dual Cores
- Fixed Frequency
- Uniform Memory
- Simple I/O

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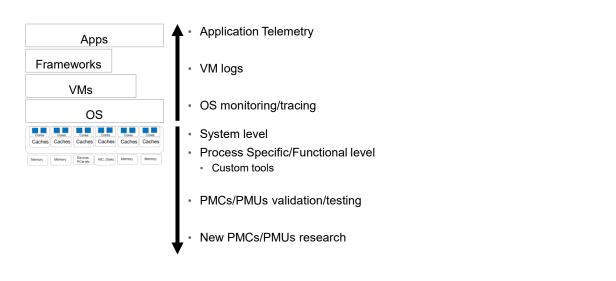
- Baremetal OS
-and performance analysis 😊

Cores Caches	Cores Caches	Cores Caches	Cores Caches	Cores Caches	Cores Caches
		Devices	NIC, Disks	Memory	Memory
Memory	Memory	PCle, etc.			

- Frequency/Boost
- Per core power control
- NUMA
- Multi-nodes to Microservices
- Cloud VMs, Hypervisors, Containers
- System and Application telemetry
- · Open-source and proprietary tools
- · AI based scheduling and problem analysis

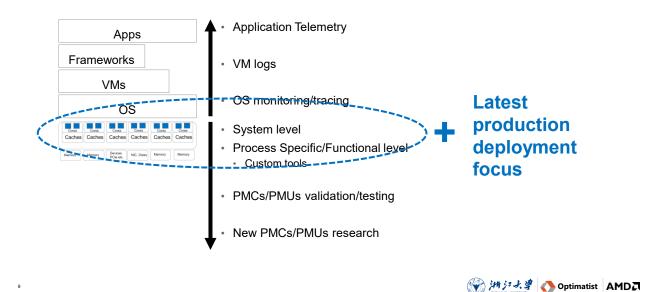
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Performance monitoring universe...





Performance monitoring universe ... this tutorial focus...



What typical production deployments requesting...

- · Few important counters ... all the time at right granularity
- On demand or based on some alerts ... detailed counters capture

→All above ... using open-source tools (Linux Perf)

- →Automation to correlate ... above with application telemetry!
- → Should lead to ... better configurations, optimizations and TCO



What typical production deployments requesting...

- Few important counters ... all the time at right granularity
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All above ... using open-source tools (Linux Perf)
 Automation to correlate ... above with application telemetry!
 Should lead to... better configurations, optimizations and TCO

➔In 1-2 years... generate enough training data set for LLMs ☺ Short term: Apply RAG, create Agents Long Term: AIPerfEngineer!

What typical production deployments requesting...

 Yes, we have a proposed methodology which is not perfect, but pretty good!

• It starts with basic fundamentals...

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Before executing the application ... ensure...

- Platform Configuration
 - · Log the config details using many tools
 - · Develop automatic compare and alerts
 - CPU models, Memory DIMMs, Disks, etc.
- System Health check
 - · Collect quick basic performance evaluation
 - Memory bandwidth and latency
 - I/O perf, etc.

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STEP 1 of any performance analysis ... understand...

• The high-level characteristics of the application

CPU M	
Memory (used)	NN

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Execution phases

How to profile an application...

Many tools available
Basic vmstat

Collect and view in histogram

Check if running at

Host level or
VM level

How to profile an application ... vmstat

- vmstat https://access.redhat.com/solutions/1160343
- Waiting threads
- Memory use
- I/O

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- Interrupts
- Context Switch
- CPU %: user, system, I/O wait, and idle

		mer	nory		SW8	ар	io		sy:	stem-			-cpi	u	
r b	o swpd	free	buff	cache	si	so	bi	bo	in	cs	us	sy	id	wa	st
3 0	9 0	44712	110052	623096	0	0	30	28	217	888	13	3	83	1	6
0 0	0 0	44408	110052	623096	0	0	0	0	88	1446	31	4	65	0	6
0 0	0 0	44524	110052	623096	0	0	0	0	84	872	11	2	87	0	6
0 0	0 0	44516	110052	623096	0	0	0	0	149	1429	18	5	77	0	(
0 0	0 0	44524	110052	623096	0	0	0	0	60	431	14	1	85	0	(

VM



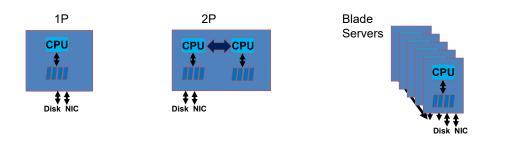
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STEP 2 ... understand the platform and its features

• 1P, 2P or more...

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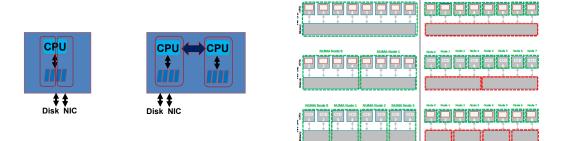
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Understand the platform and its features: NUMA

NUMA & Memory interleaving



→Impacts memory bandwidth and latency



Understand the platform and its features: SMT / HT

- AMD® EPYC[™] Simultaneous Multithreading (SMT)
- Intel® Hyper-Threading Technology (HT)
- IBM® Power9[™] Simultaneous Multithreading (SMT)
 - More replication of resources

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→ Significantly impacts <u>CPU utilization %</u> and <u>Performance analysis</u>!



CPU utilization % examples ... next level detail...

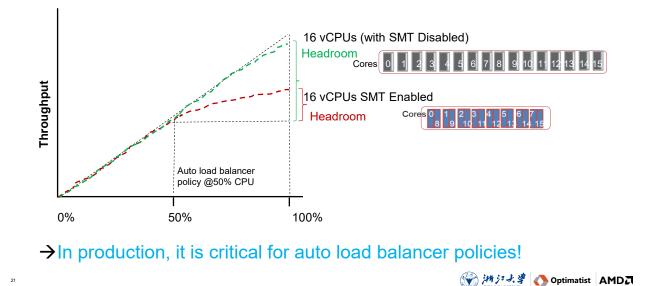
System	Total CPU %	User vs. Sys	Syste m	Total CPU %	User vs. Sys	Effective Frequency
Α	40%	35% User 5% Sys	Α	40%	35% User 5% Sys	3.50 GHz
В	40%	25% User 15% Sys	В	40%	35% User 5% Sys	2.8 GHz

System	Total CPU %	User vs. Sys	Effective Frequency	Scheduling
Α	40%	35% User 5% Sys	3.50 GHz	Cores + SMT threads
В	40%	35% User 5% Sys	3.50 GHz	Across cores

→Just knowing CPU % utilization may not be sufficient!



CPU utilization % not linear when SMT is enabled...



Performance analysis with SMT / HT...

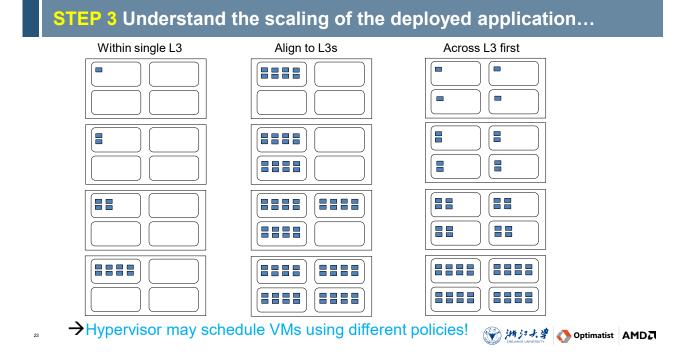
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• Fundamentals of Performance Analysis Example:

	SMT OFF	SMT ON	
Total Instruction retired / sec	96 x 10 ⁹	110 x 10 ⁹	
Total CLKs / sec	48 x 10 ⁹	96 x 10 ⁹	SMT OFF 16C/16T vCPUs x 3.0 GHz SMT ON 16C/32T vCPUs x 3.0 GHz
Total Ops / sec	1000	1200	20% SMT Uplift
IPC (Instructions / CLK)	2.00	1.15	
CPI (CLKs / Instruction)	0.50	0.87	

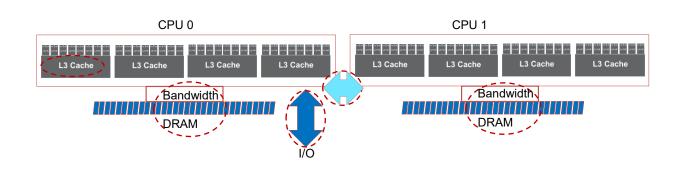
→ Special attention when mixing IPC from SMT ON and OFF configs!



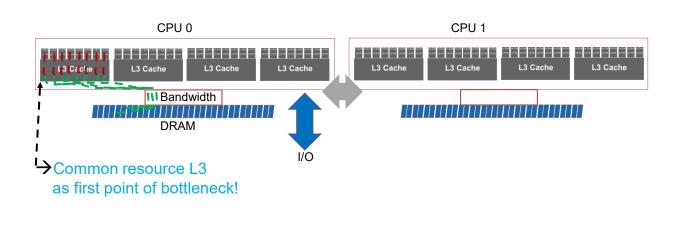


Understand the scaling bottlenecks...

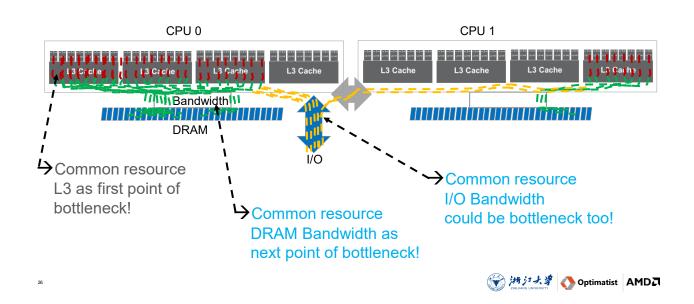
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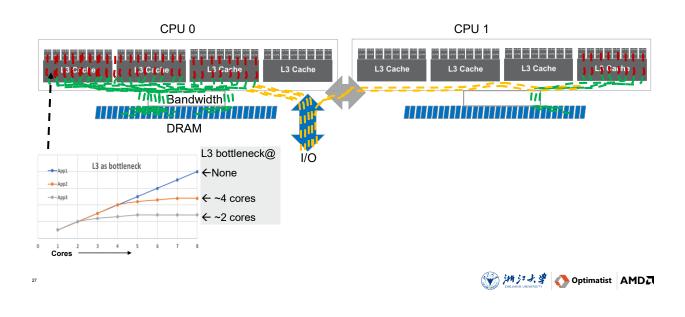
Understand the scaling of the deployed application...



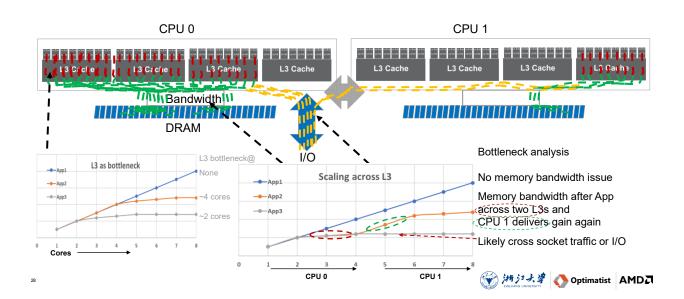
STEP 3 Understand the scaling of the deployed application...



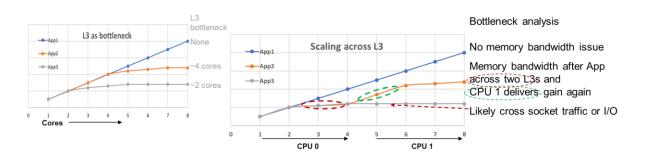
STEP 3 Understand the scaling of the deployed application...



STEP 3 Understand the scaling of the deployed application...



STEP 4 How to validate these observations...



→Tools, data collection, post processing and analysis



Typical production deployments ... asks...

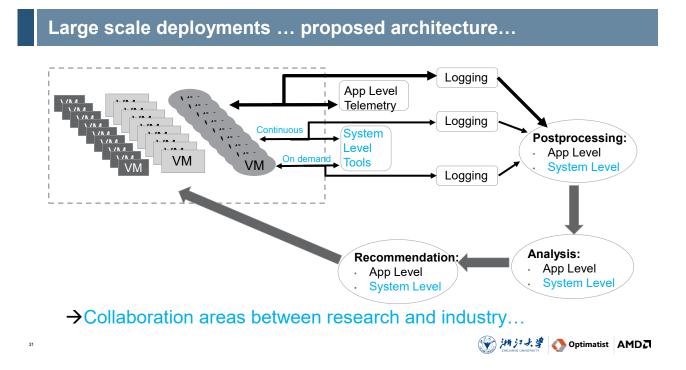
In-depth optimization

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- Profiling tools with architecture specific analysis
- Optimal sizing of VMs
 - High level characterization of the application + TCO analysis
- Large scale production deployments telemetry and analysis...





Continuous data collection...

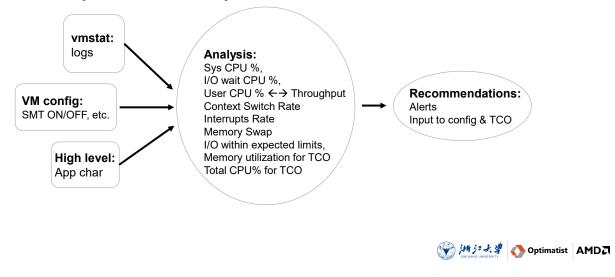
- vmstat
- Effective Frequency
- Etc.

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Analysis ... vmstat or similar...

· Mostly rule based analysis



Effective Frequency...

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• Due to core level power management and total power capping, measuring effective frequency of the cores associated to the VM is very important to determine performance!



On-demand data collection ... system level tools...

- PerfSpect
- ProcessWatch

PerfSpect...

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Pipeline Utilization Analysis	
In which we should be being target and experiment a solution of another solution of the solut	
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- pip3 install -r requirements.txt
- make
- cd build && ./perf-collect -t 60 (sets duration of 60s)
- ./perf-collect --socket -t 60 (sets duration of 60s and collects Socket level information)
- of ous and contects occur fination; ./perf-collect --cpu -a \$PWD/sample.sh (option --cpu to collect per cpu data and disables uncore events, -a for application to run with perf-collect and ends after workload completion)
- ./perf-collect -m 80 --cpu -t 60 (option -m is the mux interval)
- ./perf-collect -p 5041 -t 100 (option -p to collect data for a given PID process)
- ./perf-postprocess (generates reports in readable csv format and HTML file to view the graphical plots)



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ProcessWatch...

Showcase



Analysis...

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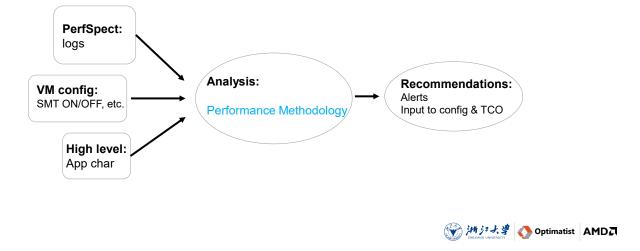
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Mostly rule based analysis



Analysis ... using architecture specific counters...

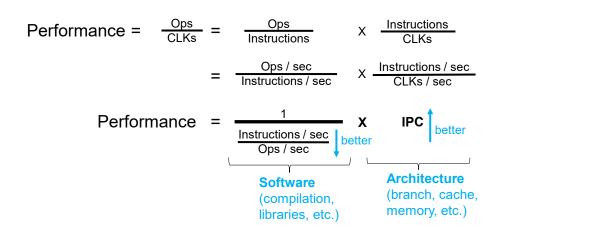
• Mostly rule based but following ... performance methodology...

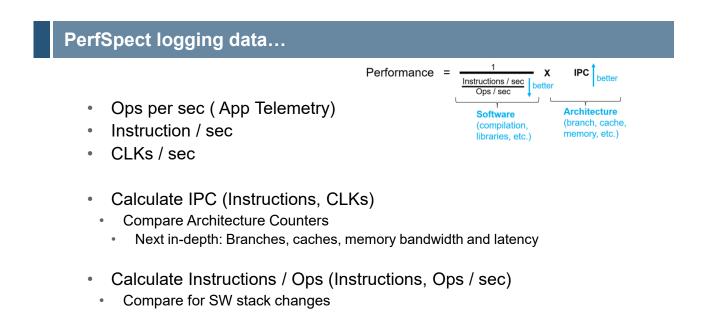


Performance methodology ... rule + heuristic...

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Challenges...

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- Many cloud deployments only allow core level PMCs
 - Only core level PMCs available within a VM or container
- · Manually it is not possible to analyze data collected at scale
- Performance analysis is rule based + heuristic + changes with deployment and load etc.
- →Very important for overall TCO to develop analysis and recommendation using (App telemetry + System tools data)



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Part 2: Performance analysis in the industry, methodology and case studies

Workload in the many core era

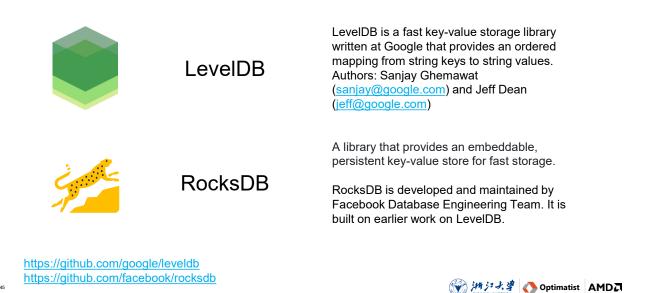
A workload that has been running fine for a few cores may not do well with dozens or hundreds of cores.

Core Scaling Analysis:

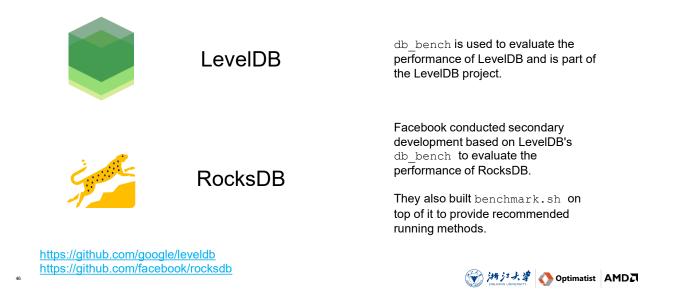
- Run a workload from a few cores to dozens or even hundreds of cores
- If performance doesn't scale well, identify the bottlenecks.



Case study: Bottleneck analysis with many cores



Case study: Bottleneck analysis with many cores



Some of RocksDB's users:

- Apache Spark
- Apache Flink
- Apache Kafka
- Apache Doris
- Apache Kvrocks
- Alluxio
- ByteDance ByteGraph
- · Microsoft Bing search engine
- Netflix

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https://github.com/facebook/rocksdb/blob/main/USERS.md

- Uber
- Airbnb
- Tencent PaxosStore (for WeChat)
- Yahoo
- LinkedIn
- PingCAP, TiDB, TiKV
- Snowflake



Case study: Bottleneck analysis in multithreaded scenarios

Test 2. Random Read (benchmark.sh readrandom)

NUM_KEYS=900000000 CACHE_SIZE=6442450944 DURATION=5400 benchmarksh readrandom Measure performance to randomly read existing keys. The database after bulkload was used as the starting point

Version	Opts	ops/sec	mb/sec	usec/op	p50	p75	p99	p99.9	p99.99
7.2.2	None	136915	34.7	467.4	615.5	772.8	1270	1801	2840
7.2.2	DIO	189236	47.9	338.2	419.6	539.1	1022	1693	2297
7.1.1	None	145490	36.8	439.9	599.7	753.7	1252	1809	2813
7.1.1	DIO	189242	47.9	338.2	419.0	539.1	1037	1696	2294
7.0.3	None	145540	36.8	439.7	599.8	753.3	1251	1803	2803
7.0.3	DIO	189243	47.9	338.2	419.2	539.2	1029	1691	2246
6.29.1	None	145577	36.9	439.6	606.3	751.0	1204	1292	2091
5.29.1	DIO	189243	47.9	338.2	430.0	540.9	854	969	1291
5.29.0	None	145590	36.9	439.6	606.2	751.0	1204	1292	1936
5.29.0	DIO	189241	47.9	338.2	430.0	540.8	854	932	1289
5.28.0	None	146980	37.2	435.4	604.3	748.9	1195	1291	1984
6.28.0	DIO	189232	47.9	338.2	430.0	540.9	854	991	1293
6.27.0	None	146921	37.2	435.6	604.4	748.8	1194	1291	1980
6.27.0	DIO	189250	47.9	338.2	430.1	540.8	854	902	1287
6.26.0	None	128341	32.5	498.7	639.6	805.7	1272	1298	2156
6.26.0	DIO	189244	47.9	338.2	430.1	540.8	854	894	1287
6.25.0	None	128517	32.5	498.0	639.0	804.6	1272	1298	2220

benchmark.sh and db_bench have been used as the benchmark for performance testing in many versions of RocksDB.

4 <u>https://github.com/facebook/rocksdb/wiki/Performance-Benchmarks</u>



Random Read: Measure performance to randomly read existing keys. Uniform Distribution. Mason rotation method.

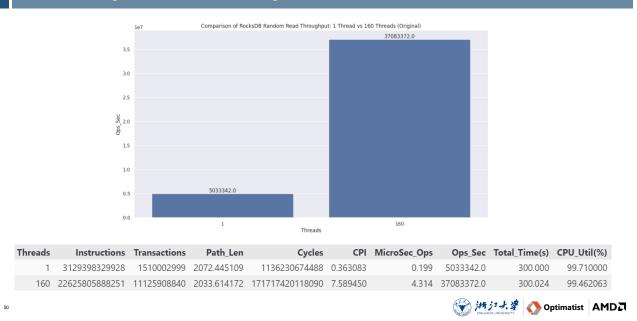
- RocksDB: version 9.2.0
- CPU: 2 * Intel(R) Xeon(R) Platinum 8383C CPU @ 2.70GHz
 - HyperThreading ON
 - 40 cores per socket
 - 160 hardware threads
- Memory: 512 GB

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- OS: Ubuntu 22.04 5.15.0-102-generic
- # the parameters of benchmark.sh export DB_DIR=./db export WAL_DIR=./wal export NUM_KEYS=900000000 export CACHE_SIZE=6442450944 export DURATION=300 export NUM_THREADS=1 # only this changed in the following different experiments

./tools/benchmark.sh randomread





Case study: Bottleneck analysis in multithreaded scenarios

39	# Ov Symbol	verhead	Command	Shared Object	1 thread, data	a collected by perf-record, parsed by perf-report
40	#					
			• • • • • • • • • • • • • • • • • • • •			
			• • • • • • • • • • • • • • • • • • • •			
		• • • • • • •	•••••			
41 ~						
42	6.37%		db_bench	db_bench		rocksdb::DBImpl::GetImpl
43	3.47%		db_bench	db_bench		rocksdb::Version::Get
44	3.26%		db bench	db bench		rocksdb::Stats::FinishedOps
45	2.94%	3.56%	db_bench	db_bench	[.]	rocksdb::Benchmark::ReadRandom
46	2.88%	3.48%	db_bench	db_bench	[.]	rocksdb::GetContext::GetContext
47	2.13%	2.58%	db_bench	[vdso]	[.]	0x00000000006e8
48	1.93%	2.34%	db_bench	db_bench	[.]	std::mersenne_twister_engine <unsigned 156ul,="" 312ul,="" 31ul,<="" 64ul,="" long,="" th=""></unsigned>
	1304310	9905998	158313ul, 29ul, 6	148914691236517205ul, 17ul, 8	202884508482404352ul, 3	7ul, 18444473444759240704ul, 43ul, 6364136223846793005ul>::_M_gen_rand
49	1.69%	2.04%	db_bench	db_bench	[.]	rocksdb::ThreadLocalPtr::StaticMeta::CompareAndSwap
50	1.47%	1.77%	db bench	db bench	[.]	rocksdb::DBImpl::Get
51	1.40%	1.35%	node	[kernel.kallsyms]	[k]	link_path_walk.part.0.constprop.0
52	1.34%	1.62%	db bench	db_bench		rocksdb::ThreadLocalPtr::StaticMeta::Swap
53	1.34%	1.29%		[kernel.kallsyms]		d lookup_rcu
54	0.96%	0.32%	node	[unknown]		0x00000000f5bd5f
55	0.95%	1.15%	db_bench	db bench		rocksdb::MemTable::Get
56	0.84%		db_bench	db bench		rocksdb::MemTableListVersion::GetFromList
50	5104/0	210270			[.]	

cmdline : /usr/lib/linux-tools-5.15.0-100/perf record -e {cycles,instructions}:S
-a -F 97 /usr/bin/taskset -c 140 ./benchmark.sh readrandom



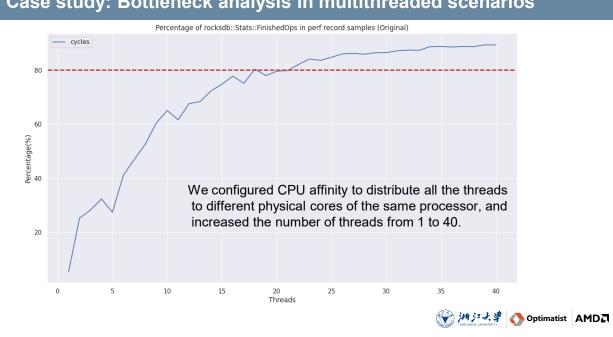
Case study: Bottleneck analysis in multithreaded scenarios Overhead Command Shared Object # .. Symbol 160 threads, data collected by perf-record, parsed by perf-report # 87.10% 86.67% db_bench 2.06% 2.09% db_bench db_bench [.] rocksdb::Stats::FinishedOps [.] rocksdb::Version::Get 42 rocksdb::ThreadLocalPtr::StaticMeta::Swap rocksdb::DBImpl::GetImpl 1.71% 1.58% db bench db bench 1.64% db_bench db_bench 1.60% 0.99% db_bench 0.53% db_bench 0.41% db_bench rocksdb::Benchmark::ReadRandom 46 0.97% db bench 0.52% db_bench db_bench rocksdb::GetContext::GetContext rocksdb::DBImpl::Get 47 48 rocksdb::ThreadLocalPtr::StaticMeta::CompareAndSwap rocksdb::HistogramBucketMapper::IndexForValue 0.34% db_bench 0.31% db_bench db_bench db_bench 0.34% 50 0.30% 0.25% 0.25% db bench [vdso] 0x00000000000006e8 0.23% 0.24% db_bench [.] Std::mersenne_twister_engine<unsigned long, 64ul, 312ul, 156ul, 31ul, 13643169965998158313ul, 29ul, 6148914691236517205ul, 17ul, 8202884508482404352ul, 37ul, 18444473444759240704ul, 43ul, 6364136223846793005ul>::_M_gen_rand 0.21% 0.21% db_bench [.] std::mersenne_twister_engine<unsigned long, 64ul, 312ul, 156ul, 31ul, 13043169905998158313ul, 29ul, 6148914691236517205ul, 17ul, 8202884508482404352ul, 37ul, 1844473444759240704ul, 43ul, 6364136223846793005ul>::_M_gen_rand 1.3043109905998158313ul, 29ul, 6148914691236517205ul, 17ul, 8202884508482404352ul, 37ul, 18444473444759240704ul, 43ul, 6364136223846793005ul>::operator() 1.9% 0.19% db_bench [.] rocksdb::MemTableListVersion::GetFromList 0.19% 0.19% db_bench 0.19% 0.19% db_bench 0.18% 0.18% db_bench db_bench db_bench [.] rocksdb::MemTable::Get [.] rocksdb::LookupKey::LookupKey 0.17% 0.17% db_bench db_bench [.] rocksdb::DBImpl::FailIfCfHasTs # cmdline : /usr/lib/linux-tools-5.15.0-100/perf record -e {cycles,instructions}:S

-a -F 97 ./benchmark.sh readrandom

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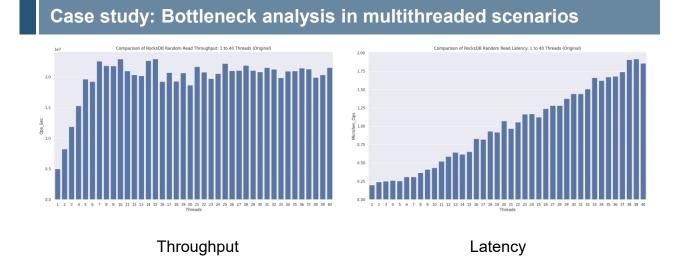




Case study: Bottleneck analysis in multithreaded scenarios

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Different physical cores on the same processor





Global Variable with Mutex Lock



Case study: Bottleneck analysis in multithreaded scenarios

Before modification

Threads	Instructions	Transactions	Path_Len	Cycles	CPI	MicroSec_Ops	Ops_Sec	Total_Time(s)	CPU_Util(%)
1	3129398329928	1510002999	2072.445109	1136230674488	0.363083	0.199	5033342.0	300.000	99.710000
160	22625805888251	11125908840	2033.614172	171717420118090	7.589450	4.314	37083372.0	300.024	99.462063

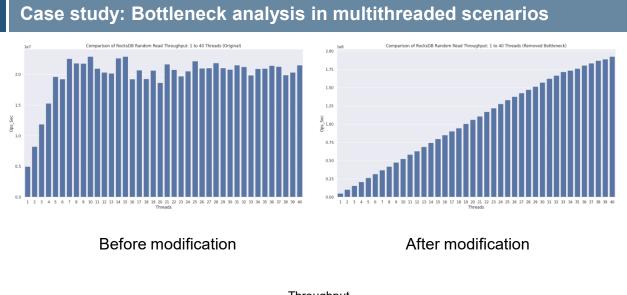
After modification

323,795,384 vs 37,083,372

Threads	Instructions	Transactions	Path_Len	Cycles	CPI	MicroSec_Ops	Ops_Sec	Total_Time(s)	CPU_Util(%)
1	3259113189316	1588156999	2052.135394	1124524900793	0.345040	0.189	5293853.0	300.000	99.71000
160	194183272380812	97151942840	1998.758509	151013619154778	0.777686	0.494	323795384.0	300.041	99.55725



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Throughput





0.200

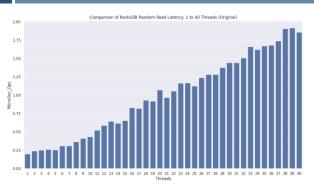
0.17

0.15

8 0.12

0.100

0.07



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Before modification

After modification

Latency



Analysis shared on GitHub and Google Group

https://github.com/facebook/rocksdb/issues/12594

https://groups.google.com/g/rocksdb/c/ORtpFcXMf8w

Problem agreed last month. Will submit a PR to fix it.

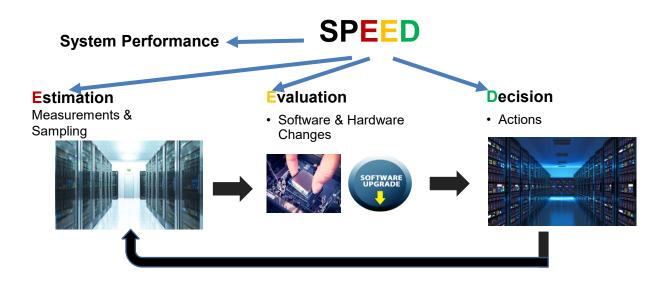




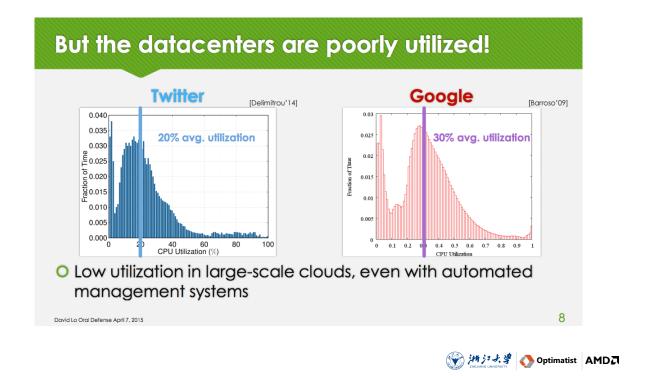
To save 1000 servers

)	Needed Performance Improvement (%)	Servers
	10	10,000
Software Configuration at Scale Hardware Configuration at Scale	1	100,000





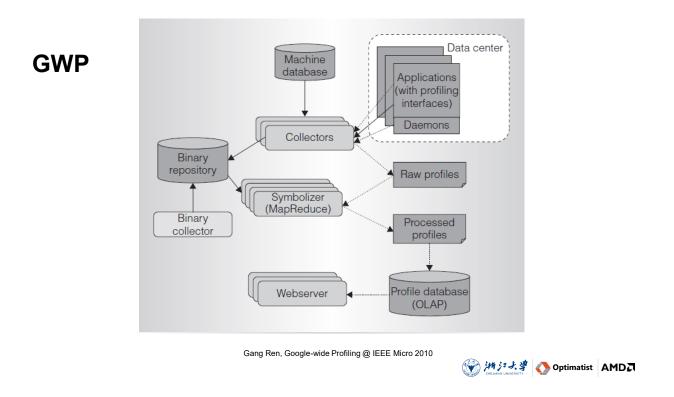




Performance Analysis at Scale

- Google-wide Profiling (GWP)
- CPI²
- Performance Scaling in many cores
- Resource Usage Effectiveness (RUE)
- System Performance Estimation, Evaluation and Decision (SPEED)





GWP Optimization

- *CPI_{ij}*, the measured CPI of application *j* on platform *i*.
- *TotalLoad_j*, the total measured number of instruction samples of application *j*.
- *Capacity_i*, the total capacity for platform *i*, measured as total number of cycle samples for platform *i*.

The equation is:

$$\begin{aligned} \text{Minimize} \sum_{i,j} CPI_{ij} * Load_{ij} \\ \text{where} \sum_{j} Load_{ij} = TotalLoad_{j} \\ \text{and} \sum_{j} CPI_{ij} * Load_{ij} \leq Capacity_{i} \end{aligned}$$

Gang Ren, Google-wide Profiling @ IEEE Micro 2010



CPI²

In one study, CPI correlates well with throughput If that is true for your data, then the next slide may help you

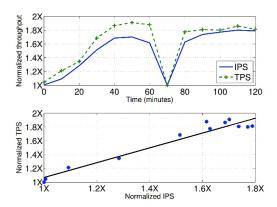


Figure 2: Normalized application transactions per second (TPS) and instructions per second (IPS) for a representative batch job: (a) normalized rates against running time; (b) scatter plot of the two rates, which have a correlation coefficient of 0.97. Each data point is the mean across a few thousand machines over a 10 minute window. The data is normalized to the minimum value observed in the 2-hour collection period.

Xiao Zhang, et al. CPI² @ EuroSys 2013



CPI²

CPI Distribution Ignore small samples (e.g., less tha Select outlier detection based on a

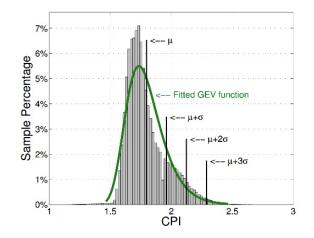
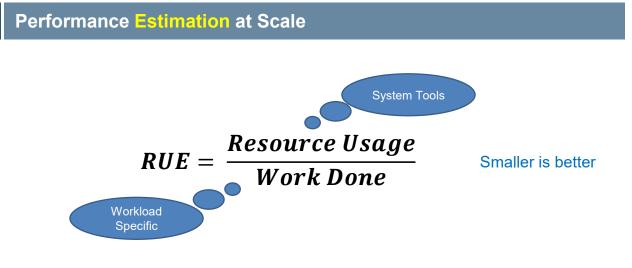


Figure 7: *CPI distribution for a web-search job in a cluster running on thousands of machines of the same type over a 2day period. The graph includes more than 450k CPI samples and has mean* $\mu = 1.8$ *and standard deviation* $\sigma = 0.16$. *We also show the best-fit generalized extreme value curve GEV*(1.73, 0.133, -0.0534).

CPI from SPECjbb2005 experiments				Experiment Results		OS Report CPU Utilizaitons (usr+sys)	
						50.16%	98.59%
				SPECjbb2005 Throughput (Mops)		32 64 PMU Counter: INST_RETIRED.ANY /s	
Number of logical CPU's	64			1.199	Chart Time 1.235 64	7.58E+10	7.84E+10
Frequency /GHz	2.5	(Fixed)				32	64
	Throughput /Mops	CPU Util. /%	Cycles	/s	Insts /s		CPI
32 cores (1 thread each)	' 1.199		ĺ	,000,000	75,800,	,000,000	1.06
32 cores (both threads per core)	1.235	98.59	157,74	4,000,000	78,400,	,000,000	2.01

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Resource usage: CPU, Memory, Storage, Network Work Done: Queries, Tasks

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Performance Evaluation at Scale

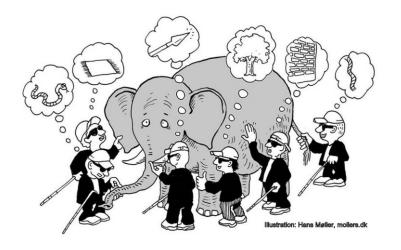
 RUE_1 is the RUE of configuration 1 RUE_2 is the RUE of configuration 2

$$Speedup = \frac{RUE_1}{RUE_2}$$

Bigger is better



Performance Data Collection in the Large





The law of large numbers

a theorem that describes the result of performing the same experiment a large number of times. According to the law, the average of the results obtained from a large number of trials should be close to the expected value, and will tend to become closer as more trials are performed.

https://en.wikipedia.org/wiki/Law_of_large_numbers

Example: Testing a new feature

To reduce the cost of testing

1% of instances of an application ran on the new config (config 2), 99% of instances ran on the old config (config 1)

No change in deployments, each app might run on the new config or the old config

We still have a large number of samples, even with 1% of the instances

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Performance Evaluation at Scale



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Big Data

	Config 1		Config 2		
					Speedup
	Proportion of App Instances		Proportion of App Instances	RUE ₂	
App Total	99.00%	885	1.00%	815	1.09

Looks really promising, let's change ?? More samples needed? More analysis needed?

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Big Data Paradox

	Config 1		Config 2		
					Speedup
	Proportion of App		Proportion of App		
	Instances	RUE ₁	Instances	RUE ₂	
App Total	99.00%	885	1.00%	815	1.09
App Group 1	50.10%	1289	0.30%	1484	0.87
App Group 2	31.50%	428	0.40%	434	0.99
App Group 3	17.40%	550	0.30%	655	0.84



Simpson's Paradox

A trend appears in several different groups of data but disappears or reverses when these groups are combined

https://plato.stanford.edu/entries/paradox-simpson/



Simpson's Paradox

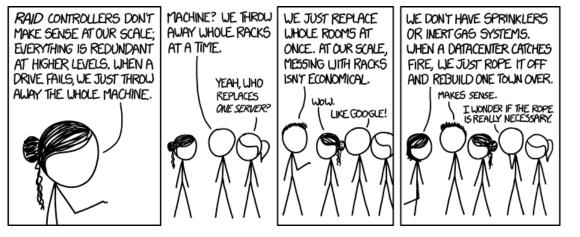


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- Intel gProfiler
 - https://www.intel.com/content/www/us/en/newsroom/news/intel-releases-continuous-profiler-for-cpuperformance.html#gs.785y33
- Facebook RocksDB
 https://github.com/facebook/rocksdb
- Simpson's Paradox
 - https://github.com/ninoch/Trend-Simpsons-Paradox
 - https://github.com/CamDavidsonPilon/simpsons-paradox
 - https://github.com/ijmbarr/simpsons-paradox
- https://github.com/ehart-altair/SimpsonsParadox



☺ THANK YOU ☺



https://xkcd.com/1737/

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